

Maple-assisted proof of formula for A203455

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There are $3^6 = 729$ configurations for a 2×3 sub-array. Consider the 729×729 transition matrix T with entries $T_{ij} = 1$ if the bottom two rows of a 3×3 sub-array could be in configuration i while the top two rows are in configuration j (i.e. the top row of configuration i is the bottom row of configuration j , and every nonzero element of the middle row is less than or equal to at least two of its neighbours), and 0 otherwise. The following Maple code computes it. Configurations are encoded as 6-element lists, corresponding to base-3 digits, in the order

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix}.$$

```
> Configs:= [seq(convert(3^6+i,base,3)[1..6],i=0..3^6-1)]:
> Compatible:= proc(i,j)
  if not Configs[i][1..3]=Configs[j][4..6] then return 0 fi;
  if Configs[i][1] <= sort([Configs[i][2],Configs[i][4],Configs[j]
[1]])[2]
  and Configs[i][2] <= sort([Configs[i][1],Configs[i][3],Config
[j][2],Configs[i][5]])[3]
  and Configs[i][3] <= sort([Configs[i][2],Configs[i][6],Config
[j][3]])[2]
  then 1 else 0
  fi
end proc:
> T:= Matrix(729,729,Compatible):
```

Thus for $n \geq 2$ $a(n) = u T^{n-2} v$ where u is a row vector with entries 1 for configurations where each bottom row entry is less than or equal to at least two neighbours, 0 otherwise, and v is a column vectors with entries 1 for configurations where each top row entry is less than or equal to at least two neighbours. The following Maple code produces these vectors.

```
> u:= Vector[row](729, i -> `if`(Configs[i][4] <= min(Configs[i]
[1],Configs[i][5]) and
Configs[i][5] <= sort([Configs[i][4],Configs[i][2],Configs[i][6]
])[2] and
Configs[i][6] <= min(Configs[i][5],Configs[i][3]),1,0)):
v:= Vector[column](729, i -> `if`(Configs[i][1] <= min(Configs[i]
[2],Configs[i][4]) and
Configs[i][2] <= sort([Configs[i][1],Configs[i][5],Configs[i][3]
])[2] and
Configs[i][3] <= min(Configs[i][2],Configs[i][6]),1,0)):
```

To check, here are the first few entries of our sequence.

```
> TV[0]:= v:
  for n from 1 to 37 do TV[n]:= T . TV[n-1] od:
> A:= [seq(u . TV[n],n=0..37)];
```

```
A := [9, 84, 543, 3748, 29677, 237999, 1882149, 14936068, 119307906, 954802767,
7642229473, 61191946032, 490168235993, 3927147409735, 31465890290038,
252129334037581, 2020326252175096, 16189287109355756, 129729279431146577,
```

(1)

1039562617181770195, 8330379061723434337, 66754371165261697369,
 534927732148162788013, 4286578488372677625273, 34349988864193761288544,
 275259622149862420081684, 2205761073316883716939176,
 17675611825350092422659781, 141641481037984605565190944,
 1135027731164936977224242486, 9095414401685995737629888306,
 72885059505015825124501888502, 584056062328439328864801789649,
 4680266258354642289286133135400, 37504776837770777459246625202919,
 300540227645462881137963202899768, 2408344645363305295820801801289554,
 19298993608102086490128889316759748]

Note that $a(1) = 1$ is not included here. The verification of the empirical recurrence for $n = 37$, which involves $a(1)$, must be done separately.

```
> n:= 'n':
empirical:= a(n) = 18*a(n-1) -133*a(n-2) +640*a(n-3) -2309*a(n-4)
+5762*a(n-5) -9918*a(n-6) +8864*a(n-7) +2970*a(n-8) -18151*a(n-9)
+23183*a(n-10) +21799*a(n-11) -75109*a(n-12) +56329*a(n-13)
-9892*a(n-14) -115814*a(n-15) +105665*a(n-16) +64162*a(n-17)
-38348*a(n-18) +8767*a(n-19) +54790*a(n-20) -95246*a(n-21)
-139155*a(n-22) +81259*a(n-23) +104482*a(n-24) +18968*a(n-25)
-2909*a(n-26) -41630*a(n-27) -37578*a(n-28) +6670*a(n-29) +17693*
a(n-30) +5629*a(n-31) -713*a(n-32) -928*a(n-33) -300*a(n-34) -52*
a(n-35) -4*a(n-36) :
> eval(empirical, {a(n-36)=1, seq(a(n-i)=A[36-i],i=0..35)});
300540227645462881137963202899768 = 300540227645462881137963202899768 (2)
```

Now here is the minimal polynomial P of T , as computed by Maple.

```
> P:= unapply(LinearAlgebra:-MinimalPolynomial(T, t), t);
P := t ↦ -28 t3 - 4 t2 - 76 t4 + t63 - 27 t62 + 345 t61 - 2906 t60 + 18194 t59 - 89630 t58
+ 358894 t57 - 1185747 t56 + 3260528 t55 - 7466097 t54 + 14160132 t53 - 21896170 t52
+ 26633596 t51 - 23529983 t50 + 11654811 t49 + 723584 t48 + 1889472 t47
- 30150715 t46 + 76789441 t45 - 108264425 t44 + 86458925 t43 + 2171553 t42
- 134672418 t41 + 234146243 t40 - 241899114 t39 + 161267275 t38 - 17371932 t37
- 86178714 t36 + 121036721 t35 - 159172848 t34 + 142380806 t33 - 98877622 t32
+ 56252050 t31 + 58219355 t30 - 89668896 t29 + 59655755 t28 - 88313022 t27
+ 12314620 t26 + 52223803 t25 + 6093896 t24 + 8246178 t23 - 26031408 t22
- 11882831 t21 - 3875046 t20 + 10990860 t19 + 10242618 t18 - 3330927 t17
- 2031952 t16 - 1747833 t15 - 1001573 t14 + 1169345 t13 + 559815 t12 - 163580 t11
- 94865 t10 - 16502 t9 + 321 t8 + 4403 t7 + 1435 t6 + 16 t5
> degree(P(t));
63 (4)
```

This turns out to have degree 63, but with the t^0 and t^1 coefficients 0. Thus we will have

$0 = u P(T) T^n v = \sum_{i=2}^{63} p_i b(i+n)$ where p_i is the coefficient of t^i in $P(t)$. That corresponds to a

homogeneous linear recurrence of order 61, which would hold true for any u and v , after a delay of 2. It seems that with our particular u and v we have a recurrence of order only 36, corresponding to a factor of P .

> Q:= unapply(add(coeff((lhs-rhs)(empirical), a(n-i))*t^(36-i), i=0..<36), t);

$$\begin{aligned}
 Q := t \mapsto & 4 + 52t + 928t^3 + 300t^2 + 713t^4 + t^{36} - 18t^{35} + 133t^{34} - 640t^{33} + 2309t^{32} \\
 & - 5762t^{31} + 9918t^{30} - 8864t^{29} - 2970t^{28} + 18151t^{27} - 23183t^{26} - 21799t^{25} \\
 & + 75109t^{24} - 56329t^{23} + 9892t^{22} + 115814t^{21} - 105665t^{20} - 64162t^{19} + 38348t^{18} \\
 & - 8767t^{17} - 54790t^{16} + 95246t^{15} + 139155t^{14} - 81259t^{13} - 104482t^{12} - 18968t^{11} \\
 & + 2909t^{10} + 41630t^9 + 37578t^8 - 6670t^7 - 17693t^6 - 5629t^5
 \end{aligned} \tag{5}$$

The complementary factor $R(t) = \frac{P(t)}{Q(t)}$ has degree 27, again with the lowest two coefficients 0.

> R:= unapply(normal(P(t)/Q(t)), t);

$$\begin{aligned}
 R := t \mapsto & (-1 + 6t + 72t^3 - 22t^2 - 141t^4 + t^{25} - 9t^{24} + 50t^{23} - 169t^{22} + 433t^{21} - 816t^{20} \\
 & + 1231t^{19} - 1494t^{18} + 1392t^{17} - 948t^{16} + 133t^{15} + 728t^{14} - 968t^{13} + 1031t^{12} \\
 & - 921t^{11} + 372t^{10} - 101t^9 + 5t^8 + 190t^7 - 200t^6 + 161t^5) t^2
 \end{aligned} \tag{6}$$

> degree(R(t));

$$27 \tag{7}$$

Now we want to show that $c(n) = u Q(T) T^n v = 0$ for all $n \geq 0$. This will certainly satisfy the recurrence

$$\sum_{i=2}^{27} r_i c(i+n) = \sum_{i=2}^{27} r_i u Q(T) T^{n+i} v = u Q(T) R(T) T^n v = u P(T) T^n v = 0$$

where r_i are the coefficients of $R(t)$. To show all $c(n) = 0$ it suffices to show $c(1) = \dots = c(26) = 0$.

First we compute $w = u Q(T)$, then multiply it with the already-computed $T^n v$.

> UT[0] := u:

for n from 1 to 36 do UT[n] := UT[n-1].T od:

w := add(coeff(Q(t), t, j)*UT[j], j=0..degree(Q(t))):

> seq(w . TV[n], n=0..26);

0, 0

(8)

This completes the proof.